

**WINJAMMER FILING**

**INITIAL**

**End Date:7/16/2021**

**Firm Name:PICTET OVERSEAS INC**

**Form:Daily Seg - FOCUS II**

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**Daily Segregation - Cover Page**

Name of Company	<b><u>PICTET OVERSEAS INC</u></b>
Contact Name	<b><u>Edite Das Neves</u></b>
Contact Phone Number	<b><u>514-350-6234</u></b>
Contact Email Address	<b><u>edasneves@pictet.com</u></b>

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- |   |                         |
|---|-------------------------|
| a. Minimum dollar amount: ; or  | <b><u>2,750,000</u></b> |
| b. Minimum percentage of customer segregated funds required:% ; or        | <b><u>0</u></b>         |
| c. Dollar amount range between:and; or                                    | <b><u>0 0</u></b>       |
| d. Percentage range of customer segregated funds required between:% and%. | <b><u>0 0</u></b>       |

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- |  |                         |
|--|-------------------------|
| a. Minimum dollar amount: ; or   | <b><u>1,250,000</u></b> |
| b. Minimum percentage of customer secured funds required:% ; or        | <b><u>0</u></b>         |
| c. Dollar amount range between:and; or                                 | <b><u>0 0</u></b>       |
| d. Percentage range of customer secured funds required between:% and%. | <b><u>0 0</u></b>       |

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- |   |                   |
|---|-------------------|
| a. Minimum dollar amount: ; or  | <b><u>0</u></b>   |
| b. Minimum percentage of cleared swaps customer collateral required:% ; or        | <b><u>0</u></b>   |
| c. Dollar amount range between:and; or  | <b><u>0 0</u></b> |
| d. Percentage range of cleared swaps customer collateral required between:% and%. | <b><u>0 0</u></b> |

Current ANC:on	<b><u>50,456,031 31-MAY-2021</u></b>
Broker Dealer Minimum	<b><u>69,397</u></b>
Debit/Deficit - CustomersCurrent AmountGross Amount	
Domestic Debit/Deficit	<b><u>0</u></b>
Foreign Debit/Deficit	<b><u>0 0</u></b>
Debit/Deficit - Non CustomersCurrent AmountGross Amount	
Domestic Debit/Deficit	<b><u>0 0</u></b>
Foreign Debit/Deficit	<b><u>0 0</u></b>
Proprietary Profit/Loss	
Domestic Profit/Loss	<b><u>0</u></b>
Foreign Profit/Loss	<b><u>0</u></b>
Proprietary Open Trade Equity	
Domestic OTE	<b><u>0</u></b>
Foreign OTE	<b><u>0</u></b>
SPAN	
Customer SPAN Calculation	<b><u>150,304,320</u></b>
Non-Customer SPAN Calculation	<b><u>0</u></b>
Proprietary Capital Charges	<b><u>0</u></b>
Minimum Dollar Amount Requirement	<b><u>1,000,000</u> [7465]</b>
Other NFA Dollar Amount Requirement	<b><u>0</u> [7475]</b>

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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	0 [7305]
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
A. Cash	<u>6,069,397</u> [7315]
B. Securities (at market)	<u>28,174,182</u> [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<u>-2,262,083</u> [7325]
3. Exchange traded options	
a. Market value of open option contracts purchased on a foreign board of trade	<u>373,120</u> [7335]
b. Market value of open contracts granted (sold) on a foreign board of trade	0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.)	<u>32,354,616</u> [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount	0 [7351]
Less: amount offset by customer owned securities	0 [7352] 0 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	<u>32,354,616</u> [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<u>32,354,616</u> [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks	
A. Banks located in the United States	<u>1,467,661</u> [7500]
B. Other banks qualified under Regulation 30.7	0 [7520] <u>1,467,661</u> [7530]
2. Securities	
A. In safekeeping with banks located in the United States	0 [7540]
B. In safekeeping with other banks qualified under Regulation 30.7	0 [7560] 0 [7570]
3. Equities with registered futures commission merchants	
A. Cash	<u>4,143,107</u> [7580]
B. Securities	<u>11,251,262</u> [7590]
C. Unrealized gain (loss) on open futures contracts	<u>653,526</u> [7600]
D. Value of long option contracts	<u>373,120</u> [7610]
E. Value of short option contracts	0 [7615] <u>16,421,015</u> [7620]
4. Amounts held by clearing organizations of foreign boards of trade	
A. Cash	0 [7640]
B. Securities	0 [7650]
C. Amount due to (from) clearing organization - daily variation	0 [7660]
D. Value of long option contracts	0 [7670]
E. Value of short option contracts	0 [7675] 0 [7680]
5. Amounts held by members of foreign boards of trade	
A. Cash	<u>3,312,388</u> [7700]
B. Securities	<u>16,922,920</u> [7710]
C. Unrealized gain (loss) on open futures contracts	<u>-2,885,891</u> [7720]
D. Value of long option contracts	0 [7730]
E. Value of short option contracts	0 [7735] <u>17,349,417</u> [7740]
6. Amounts with other depositories designated by a foreign board of trade	0 [7760]
7. Segregated funds on hand	0 [7765]
8. Total funds in separate section 30.7 accounts	<u>35,238,093</u> [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<u>2,883,477</u> [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts	<u>1,250,000</u> [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>1,633,477</u> [7785]

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**Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>26,078,365</u> [7010]
	B. Securities (at market)	<u>177,687,056</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>-16,625,607</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>54,786,544</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-76,795,405</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>165,130,953</u> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>16,889,669</u> [7045]
	Less: amount offset by customer securities	<u>-16,889,669</u> [7047] <u>0</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>165,130,953</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>4,825,437</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>0</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>0</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>0</u> [7132]
	B. Value of open short option contracts	<u>0</u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>-12,852,826</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>177,687,056</u> [7170]
12.	Segregated funds on hand	<u>0</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>169,659,667</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>4,528,714</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>2,750,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>1,778,714</u> [7198]
	Excess	

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**Daily Segregation - Supplemental**

- Total gross margin deficiencies - Segregated Funds Origin **0** [9100]
- Total gross margin deficiencies - Secured Funds Origin **0** [9101]
- Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9102]
- Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9103]
- Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin **0** [9104]
- Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin **0** [9105]
- Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9106]
- Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9107]
- Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.

Margin calls - Client 20210716.PDF

**Virtual Currency**

Identify the virtual currency products traded by customers and noncustomers:

Bitcoin futures

**No** [VC05]

Bitcoin options

**No** [VC06]

Ether futures

**No** [VC07]

Micro bitcoin futures

**No** [VC08]

Other:

**No** [VC09] **0** [VC10]

Identify the number of customers with open virtual currency futures/options?

**0** [VC11]

Identify the number of noncustomers with open virtual currency futures/options?

**0** [VC12]

Total open long customer/noncustomer virtual currency derivatives positions:

**0** [VC03]

Total open short customer/noncustomer virtual currency derivatives positions:

**0** [VC04]

Identify the virtual currency products traded for proprietary purposes:

Bitcoin futures

**No** [VC13]

Bitcoin options

**No** [VC14]

Ether futures

**No** [VC15]

Micro bitcoin futures

**No** [VC16]

Other:

**No** [VC17] **0** [VC18]

Total open long proprietary virtual currency derivatives positions:

**0** [VC19]

Total open short proprietary virtual currency derivatives positions:

**0** [VC20]

**Micro**

Identify the micro contracts traded by customers and noncustomers:

Equity

**Yes** [MC01]

Interest Rate

**No** [MC02]

Metals

**Yes** [MC03]

Energy

**No** [MC04]

FX Futures

**No** [MC05]

Other

**No** [MC06] **0** [MC07]

Identify the number of customers with open micro contracts?

**1** [MC08]

Identify the number of noncustomers with open micro contracts?

**0** [MC09]

Total open customer/noncustomer long micro positions:

**13** [MC10]

Total open customer/noncustomer short micro positions:

**539** [MC11]

Identify the micro contracts traded for proprietary purposes:

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Equity

Interest Rate

Metals

Energy

FX Futures

Other

Total open long proprietary micro positions:

Total open short proprietary micro positions:

**No** [MC12]

**No** [MC13]

**No** [MC14]

**No** [MC15]

**No** [MC16]

**No** [MC17] **0** [MC18]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1. Net ledger balance	
A. Cash	<u>0</u> [8500]
B. Securities (at market)	<u>0</u> [8510]
2. Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>0</u> [8560]
Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Funds in Cleared Swaps Customer Segregated Accounts	<u>0</u> [8590]
7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	<u>0</u> [8600]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	<u>0</u> [8630]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9. Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11. Net equities with other FCMs	
A. Net liquidating equity	<u>0</u> [8690]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12. Cleared swaps customer funds on hand	
A. Cash	<u>0</u>
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> <u>0</u> [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>0</u> [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
16. Excess (deficiency) funds in cleared swaps customer segregation accounts over (under) Management	<u>0</u> [8770]