

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Pictet Overseas Inc

Run on: 29 Mar, 2019 at 20:23
Activity Date: 03/29/2019
Quarter Starting On: 01/01/2019

Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	11,017	
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%	
Non-Directed Customer Orders That Were:		
Market	Limit	Other including "Not Held"
Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
0.00% 51	0.00% 89	0.00% 10,877

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	54	0.00%	1	0.00%	0	0.00%	53
BATS Y Exchange : BYXX	0.00%	2,054	0.00%	3	0.00%	2	0.00%	2,049
Bats Trading, Inc. : BATS	0.00%	124	0.00%	2	0.00%	1	0.00%	121
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	3	0.00%	0	0.00%	0	0.00%	3
CONVERGEX EXECUTION SOLUTIONS : EC	0.00%	3	0.00%	0	0.00%	0	0.00%	3
Credit Suisse Securities (USA) LLC : FBCO	0.00%	15	0.00%	0	0.00%	0	0.00%	15
Direct Edge EDGX Exchange : EDGX	0.00%	1,011	0.00%	1	0.00%	15	0.00%	995
IEX SERVICES LLC : IEXG	0.00%	1,872	0.00%	28	0.00%	35	0.00%	1,809
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	19	0.00%	0	0.00%	0	0.00%	19
LIQUIDNET, INC. : LQNB	0.00%	451	0.00%	0	0.00%	0	0.00%	451
Maxim Group LLC : MAXM	0.00%	62	0.00%	0	0.00%	0	0.00%	62
Nasdaq Execution Services, LLC. : NSDQ	0.00%	1,258	0.00%	10	0.00%	22	0.00%	1,226
Pictet Overseas Inc. : PICT	0.00%	4	0.00%	0	0.00%	0	0.00%	4
SPEEDROUTE LLC : SPDR	0.00%	4,065	0.00%	4	0.00%	9	0.00%	4,052
VIRTU Americas LLC : DTTX	0.00%	1	0.00%	0	0.00%	0	0.00%	1
VIRTU Americas LLC : NITE	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Bats Trading, Inc. : BATS	0.00%	3	0.00%	0	0.00%	2	0.00%	1
Direct Edge EDGX Exchange : EDGX	0.00%	2	0.00%	0	0.00%	0	0.00%	2
IEX SERVICES LLC : IEXG	0.00%	7	0.00%	0	0.00%	1	0.00%	6
Nasdaq Execution Services, LLC. : NSDQ	0.00%	4	0.00%	2	0.00%	2	0.00%	0

SPEEDROUTE LLC : SPDR	0.00%	4	0.00%	0	0.00%	0	0.00%	4
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Exchange: Exchange Listed Options

Non-Directed Customer Orders Having a Market Value Less Than \$50,000:	2,864		
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$50,000:	100.00%		
Non-Directed Customer Orders That Were:			
	Market	Limit	Other including "Not Held"
	Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
	0.00% 0	0.00% 0	100.00% 2,864

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
DASH FINANCIAL TECHNOLOGIES LLC : D	0.00%	11	0.00%	0	0.00%	0	0.00%	11
INSTINET, LLC : INCA	0.00%	2,646	0.00%	0	0.00%	0	0.00%	2,646
LiquidPoint	0.00%	56	0.00%	0	0.00%	0	0.00%	56
MERRILL LYNCH, PIERCE, FENNER & SMIT	0.00%	148	0.00%	0	0.00%	0	0.00%	148
Pictet Overseas Inc. : PICT	0.00%	3	0.00%	0	0.00%	0	0.00%	3

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	35,070		
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%		
Non-Directed Customer Orders That Were:			
	Market	Limit	Other including "Not Held"
	Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
	0.00% 56	0.00% 158	0.00% 34,856

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	512	0.00%	2	0.00%	4	0.00%	506
BATS Y Exchange : BYXX	0.00%	662	0.00%	1	0.00%	0	0.00%	661
Bats Trading, Inc. : BATS	0.00%	2,362	0.00%	0	0.00%	0	0.00%	2,362
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	208	0.00%	0	0.00%	0	0.00%	208
Credit Suisse Securities (USA) LLC : FBCO	0.00%	358	0.00%	0	0.00%	0	0.00%	358
Direct Edge EDGA Exchange : EDGA	0.00%	3	0.00%	0	0.00%	0	0.00%	3
Direct Edge EDGX Exchange : EDGX	0.00%	2,031	0.00%	9	0.00%	13	0.00%	2,009
IEX SERVICES LLC : IEXG	0.00%	2,212	0.00%	8	0.00%	22	0.00%	2,182
INSTINET, LLC : INCA	0.00%	50	0.00%	0	0.00%	0	0.00%	50
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	3	0.00%	0	0.00%	0	0.00%	3

LIQUIDNET, INC. : LQNB	0.00%	1,025	0.00%	0	0.00%	0	0.00%	1,025
Maxim Group LLC : MAXM	0.00%	32	0.00%	0	0.00%	0	0.00%	32
Nasdaq Execution Services, LLC. : NSDQ	0.00%	6,702	0.00%	30	0.00%	99	0.00%	6,573
Pictet Overseas Inc. : PICT	0.00%	96	0.00%	0	0.00%	0	0.00%	96
SPEEDROUTE LLC : SPDR	0.00%	18,675	0.00%	6	0.00%	20	0.00%	18,649
VIRTU Americas LLC : DTTX	0.00%	130	0.00%	0	0.00%	0	0.00%	130
VIRTU Americas LLC : NITE	0.00%	7	0.00%	0	0.00%	0	0.00%	7
Nasdaq Execution Services, LLC. : NSDQ	0.00%	2	0.00%	0	0.00%	0	0.00%	2

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	58,405			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	0.00%	75	0.00%	120
			0.00%	58,210

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	806	0.00%	1	0.00%	3	0.00%	802
BATS Y Exchange : BYXX	0.00%	3,652	0.00%	0	0.00%	1	0.00%	3,651
Bats Trading, Inc. : BATS	0.00%	2,496	0.00%	0	0.00%	0	0.00%	2,496
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	5	0.00%	0	0.00%	0	0.00%	5
CONVERGEX EXECUTION SOLUTIONS : EC	0.00%	1,130	0.00%	19	0.00%	6	0.00%	1,105
Credit Suisse Securities (USA) LLC : FBCO	0.00%	984	0.00%	0	0.00%	0	0.00%	984
Direct Edge EDGA Exchange : EDGA	0.00%	4	0.00%	0	0.00%	0	0.00%	4
Direct Edge EDGX Exchange : EDGX	0.00%	3,131	0.00%	18	0.00%	11	0.00%	3,102
IEX SERVICES LLC : IEXG	0.00%	5,520	0.00%	2	0.00%	25	0.00%	5,493
INSTINET, LLC : INCA	0.00%	158	0.00%	0	0.00%	0	0.00%	158
LIQUIDNET, INC. : LQNB	0.00%	727	0.00%	0	0.00%	0	0.00%	727
Maxim Group LLC : MAXM	0.00%	93	0.00%	0	0.00%	0	0.00%	93
Nasdaq Execution Services, LLC. : NSDQ	0.00%	4,044	0.00%	18	0.00%	51	0.00%	3,975
Pictet Overseas Inc. : PICT	0.00%	2	0.00%	0	0.00%	0	0.00%	2
Rosenblatt Securities Inc. : ROSN	0.00%	4	0.00%	0	0.00%	0	0.00%	4
SPEEDROUTE LLC : SPDR	0.00%	35,490	0.00%	17	0.00%	23	0.00%	35,450

VIRTU Americas LLC : DTTX	0.00%	154	0.00%	0	0.00%	0	0.00%	154
Direct Edge EDGX Exchange : EDGX	0.00%	1	0.00%	0	0.00%	0	0.00%	1
SPEEDROUTE LLC : SPDR	0.00%	4	0.00%	0	0.00%	0	0.00%	4

End of SEC Rule 606 - Order Routing Disclosure Report